# Agency Fraud Control Framework Assessment Criteria

The assessment criteria used to determine the maturity of the Agency’s Fraud Control Framework is detailed below:

* Agency has a written fraud control policy in place.
* Agency had taken measures to raise staff awareness of fraud control.
* Agency supplied staff training with respect to fraud.
* Agency had formal policies and procedures for ensuring that consultants, suppliers and third parties complied with the agency's fraud control policy.
* Agency had developed specific guidelines or procedures that would address all fraud matters.
* Agency has specific individual/s or agency structure/s responsible for the development, implementation and effectiveness of fraud control.
* Agency defined the specific individual/s or agency structure/s responsible for the development, implementation and effectiveness of fraud control.
* Agency had taken steps to integrate fraud controls and security responsibilities.
* Agency had undertaken a fraud risk assessment in the last two years.
* Agency had developed action plans for the areas deemed to be of medium to high risk of fraud.
* Agency had developed a fraud control plan in the last two years to reflect its identified risks.
* Agency has a formal fraud reporting system in place.
* Agency has developed procedures for reporting fraud and distributed them to all employees.
* Agency has a Management Information System for purpose of recording, monitoring and reporting all aspects of fraud control.
* The information from the Management Information System is reported to agency executives/relevant committees/through general reporting.
* Fraud investigation staff have been trained.
* Training plans have been developed to assist determining level of fraud training by relevant employees.
* Agency had defined fraud.
* Agency had defined an allegation of fraud.
* Agency had defined when an allegation of fraud becomes an actual case of fraud, including actions to be taken.
* Agency had defined administrative irregularity as opposed to fraud, and procedures exist for the management and resolution of such matters.
* Agency has procedures in place to recover funds defrauded.
* Agency records the reasons for decisions made by accountable staff to recover funds administratively, rather than pursuing legal action.